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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/039,447
	Filing Date	November 9, 2001
	First Named Inventor	Ruettinger
	Group Art Unit	1754
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	4794A

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  References Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric A. Meade, Reg. No. 42,876
Signature	<i>E. A. Meade</i>
Date	June 18, 2003

CERTIFICATE OF MAILING	
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Typed or printed name	Sally B. Hansen
Signature	<i>Sally B. Hansen</i>
Date	June 18, 2003

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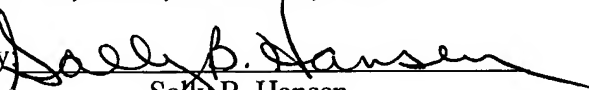
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ruettinger et al.  
Serial No.: 10/039,447 Art Unit: 1754  
Filing Date: November 9, 2001 Examiner: Unknown  
For: Enhanced Stability Water-Gas  
Shift Reaction Catalyst  
Docket No. 4794A

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

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By:   
Sally B. Hansen

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56**

Applicants herein make available to the Patent and Trademark Office copies of the documents:

- ☒ listed on enclosed Form PTO/SB/08A/B (Formerly PTO-1449), in accordance with 37 CFR 1.98(a)(2); and
- ☐ listed on enclosed copies of Forms PTO-1449, PTO-892 or PTO/SB/08A/B, which forms recited the application information for applications to which this application claims priority under 35 U.S.C. §120, but have been edited to indicate the current application data. Pursuant to 37 CFR 1.98(d), NO COPIES OF THESE DOCUMENTS ARE ENCLOSED. The prior applications that provide the source of these documents are:

Serial No. \_\_\_\_\_, filed \_\_\_\_\_;

Serial No. \_\_\_\_\_, filed \_\_\_\_\_;

The Examiner is requested to consider carefully the complete text of these references in connection with the examination of this application. It is believed that the Examiner will concur with Applicant's belief that the foregoing references do not adversely affect the patentability of the subject matter presently claimed, taken alone or in combination.

It is requested that the listed references be included in the "References Cited" portion of any patent issuing from this application.

Under 37 CFR 1.97(i), Applicants understand that non-complying Information Disclosure Statements will be placed in the file but not considered by the Office, however, under Reply to Comment 8 of the Federal Register, page 2024, Applicants will be informed when information is not considered.

(1) **This Information Disclosure Statement is being filed (one of a - e):**

- (a) ☐ within three months of the filing date of the patent application.
- (b) ☐ within three months of the date of entry into the national stage as set forth in 37 CFR 1.491 of the international application.
- (c) ☒ **before** the mailing date of a first Office Action on the merits.
- (d) ☐ **after** the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action under 37 CFR 1.116 or a Notice of Allowance under 37 CFR 1.311, and accordingly is accompanied by:
  - ☐ the Statement under 37 CFR 1.97(e) (see "Statement" below);
  - or**
  - ☐ the Fee of \$180.00 set forth in 37 CFR 1.17(p); or
- (e) ☐ **after** the period set forth above in (d) and **after** mailing date of a Final Office Action under 37 CFR 1.116 or a Notice of Allowance under 37 CFR 1.311, and accordingly is accompanied by:
  - ☐ the Statement under 37 CFR 1.97(e) (see "Statement" below);
  - and**
  - ☐ the Fee of \$180.00 set forth in 37 CFR 1.17(p); or

(2) **Statement**

- ☐ The **undersigned attorney** hereby states that each item information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign

patent application not more than three months prior to the filing of the Information Disclosure Statement.

*(If this box is checked, do not check any further Statement boxes.)*

**or**

- ☐ The **person signing immediately below** hereby states that no item of information contained in the Information Disclosure was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*The person making the statement is:*

- ☐ the inventor(s) who sign(s) below:

\_\_\_\_\_  
*Signature of the Inventor(s)*

\_\_\_\_\_  
*Typed or Printed Name of the Inventor(s)*

**or**

- ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor(s), or with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR 1.565(c)) and who signs below:

\_\_\_\_\_  
*Signature of the Person(s)*

\_\_\_\_\_  
*Typed or Printed Name of the Person*

\_\_\_\_\_  
*Address of Person Signing*

**or**

- ☐ the undersigned attorney who signs on the basis of the information:
- ☐ supplied by the inventor(s).
  - ☐ supplied by an individual designated in 37 CFR 1.56(c).
  - ☐ in the attorney's file.



\_\_\_\_\_  
*Signature of the Attorney*

(3) **Fees**

- ☒ **No Fee** is owed by the applicants.
- ☐ **The IDS Fee** of \$180.00 under 37 CFR 1.17(p) is enclosed herewith.

(4) **Method of Payment of Fees**

- ☐ Attached is a check in the amount of \$\_\_\_\_\_.
- ☐ Charge Account No. 04-0480 in the amount of \$\_\_\_\_.00. (A duplicate of this request is attached).

(5) **Authorization to Charge Additional Fees**

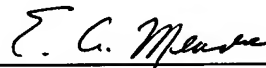
- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 04-0480.

(6) **Instructions as to Overpayment**

- ☒ Please credit Account No. 04-0480 for any overpayment.
- ☐ Please refund Dechert LLP in the amount of \$\_\_\_\_\_.

Consideration of the Information Disclosure Statement is earnestly solicited.

Respectfully submitted,



Eric A. Meade  
Registration No. 42,876

DECHERT LLP  
Princeton Pike Corporate Center  
PO Box 5218  
Princeton, New Jersey 08543-5218  
Fax: (609) 620-3259  
Attn: Eric A. Meade  
(609 620-3248)



Form PTO - 1449 U.S. Department of Commerce  
(Modified) Patent and Trademark Office

Information Disclosure  
Statement by Applicant  
(use several Sheets if necessary)

Attorney Docket No. 4794A  
Serial No.: 10/039,447  
Applicant: Ruettinger, et al.  
Filing Date: November 9, 2001  
Group No. 1754

#### U.S. PATENT DOCUMENTS

Exmr Initial	Patent Number	Issue Date	Patentee	Class	Sub- Class	Filing Date If Appropriate
AA	6,548,034	04/15/03	Takamura et al.	423	247	
BB	6,555,088	04/29/03	Baumann et al.	423	656	

#### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Exmr Initial	Document Number	Publn Date*	Country or Patent Office	Class	Sub- Class	Translation? Yes No

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Place of Publication\*\*)

Examiner	Date Considered	

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO - 2)

- \* Use publication date indicated on the patent or published application. 37 CFR 1.98(b).  
\*\* The place or publication refers to the name of the journal, magazine or other publication in which the article was published. Notice of January 9, 1992, 1135 O.G. 13 to 25 at 20.

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